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12-20-01

261374  
PATENT APPLICATION

DOCKET NO. INTE.11USU1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Thomas Lemmons

Patent No.: 09/935,873

Examiner:

Filing Date: 08/23/2001

Group Art Unit: 2613

Title: A System and Method for Optimizing Broadcast Bandwidth and Content

ASSISTANT COMMISSIONER FOR PATENTS

Box DD (IDS), US Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

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Technology Center 2600

Sir:

This Information Disclosure Statement is submitted:

- ☒ (x) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ ( ) under 37 CFR 1.97(c) together with either a:
  - ☐ ( ) Certification under 37 CFR 1.97(e), or
  - ☐ ( ) a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ ( ) under 37 CFR 1.97(d) together with a:
  - ☐ ( ) Certification under 37 CFR 1.97(e), and
  - ☐ ( ) a petition under 37 CFR 1.97(d)(2)(ii), and
  - ☐ ( ) a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ ( ) A check in the amount of \$\_\_\_\_\_ is enclosed herewith.
- ☐ ( ) Please charge to Deposit Account 08-2623 the amount of \$\_\_\_\_\_.

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2623 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited  
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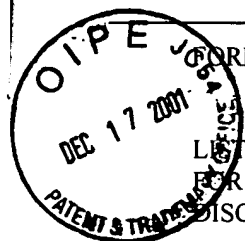
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(Rev. 5/15/96) HH:731595 v1

By   
Attorney/Agent for Applicant(s)  
William W. Cochran  
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Date: 12/17/2001

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

Inte.11USU1

SERIAL NO.

09/935,873

APPLICANT

Thomas Lemmons

FILING DATE

08/23/2001

GROUP ART UNIT 2613

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
BVS	A	5,608,727	03/04/1997	Method and System for Management of Frequency Spectrum Among Multiple Applications on a Shared Medium	370	462
TCL	B	5,675,732	10/07/1997	Dynamic Channel Assignment for TCP/IP Data Transmitted Via Cable Television Channels by Managing the Channels as a Single Sub Network	395	200.01
DWO	C	5,696,765	12/09/1997	Configurable Hybrid Medium Access Control for Cable Metropolitan Area Networks	370	436
HK	D	5,768,280	06/16/1998	Two-Way Broadband CATV Communication Network Protocol and Monitoring Technology	370	486
DT	E	5,898,693	04/27/1999	Spectrum Manager for Communication Network	370	446
MH	F	6,014,087	01/11/2000	Variable Contention Transmission Media Access Based on Idle Waiting Time	340	825.5
DWO	G	6,104,727	08/15/2000	Asymmetric Communication System with Regulated Upstream Channel	370	468
WC	H	6,205,138 B1	03/20/2001	Broadband Any Point to Any Point Switch Matrix	370	388

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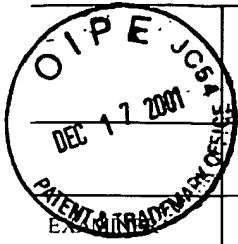
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## FOREIGN PATENT DOCUMENTS

Technology Center 2600

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	AA							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)



	DATE CONSIDERED